ATTORNEY'S DOCKET NO. A00770/70056 GSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Domash et al.

Serial No:

10/005,174

Confirm No:

6902

Filed:

December 4, 2001

For:

TUNABLE OPTICAL FILTER

Examiner:

Unassigned

Art Unit:

2877

MAR 28 2002

TC 2800 HAIL ROOM

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 19th day of March, 2002.

ary S. Engelson, Reg. No. 35,128

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

Sir:

Transmitted herewith are the following documents:

Information Disclosure Statement [X]

Return Receipt Postcard [X]

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is required. If a fee is required, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Domash et al., Applicants

Gary S. Engelson, Reg. No. 35,128 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210-2211

(617) 720-3500

March 19, 2002 xndd

ATTORNEY'S DOCKET NO: A00770/70056 (GSE)

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ary S. Enge son, Reg. No. 35,128

Commissioner for Patents Washington, D.C. 20231

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes the following information of record in the above-identified application.

The applicant would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

 Serial No.
 Filing Date
 Inventor(s)

 09/813,454
 03/20/2001
 Wagner et al.

 09/813,362
 03/20/2001
 Wagner et al.

Serial No.: 10/005,174 - 2 - Art Unit: 2877

PART III: Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Domash et al., Applicants

By:

Gary S. Engelson, Reg No. 35,128

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue Boston, MA 02210

Telephone (617) 720-3500

Docket No. A00770/70056 (GSE)

Dated: March 19, 2002